ADA Dental Claim Form

HEADER INFORMATION									
1. Type of Transaction (Mark all appli									
Statement of Actual Services	Reque	st for Predetermination	n/Preauthorizatio	n					
EPSDT/Title XIX 2. Predetermination/Preauthorization Number					POLICYHOLDER/SUBSCRIBER INFORMATION (For Insurance Company Named in #3)				
					12. Policyholder/Subscriber Name (Last, First, Middle Initial, Suffix), Address, City, State, Zip Code				
INSURANCE COMPANY/DENT	AL BENEFIT PL	AN INFORMATION	l		1				
3. Company/Plan Name, Address, Cit	y, State, Zip Code								
					13. Date of Birth (MM/DD/CCYY)	14. Gender	15. Policyholder/Subscriber I	D (SSN or ID#)	
OTHER COVERAGE			16. Plan/Group Number	17. Employer Name					
4. Other Dental or Medical Coverage	5-11) Yes	(Complete 5-11)							
5. Name of Policyholder/Subscriber in	, L	(PATIENT INFORMATION						
	- # - (Eaot, - 110t, 111t				18. Relationship to Policyholder/Sul	bscriber in #12 Above	19. Studen	t Status	
6. Date of Birth (MM/DD/CCYY)	6. Date of Birth (MM/DD/CCYY) 7. Gender 8. Policyholder/Subscriber ID (S			or ID#)	Self Spouse Dependent Child Other FTS PTS				
					20. Name (Last, First, Middle Initial, Suffix), Address, City, State, Zip Code				
9. Plan/Group Number	10. Patient's Rela	ationship to Person Na	med in #5		1				
	Self	Spouse Dep	endent Ot	ther					
11. Other Insurance Company/Dental	Benefit Plan Name	, Address, City, State,	Zip Code						
					21. Date of Birth (MM/DD/CCYY)		23. Patient ID/Account # (Ass	gned by Dentist)	
						M F			
RECORD OF SERVICES PROV	26			1					
24. Procedure Date (MM/DD/CCYY) Cavit	al Tooth 21.	Tooth Number(s) or Letter(s)	28. Tooth Surface	29. Proced Code	ure	30. Description		31. Fee	
1									
2									
3									
4									
5									
6									
7									
8									
9									
	N		Permanent			Primary			
MISSING TEETH INFORMATIO	1 2 3	4 5 6 7		11 12	13 14 15 16 A B C		H J J Fee(s)		
34. (Place an 'X' on each missing too	h)	29 28 27 26			20 19 18 17 T S R		M L K 33.Total Fee		
35. Remarks	I						.		
AUTHORIZATIONS					ANCILLARY CLAIM/TREATM	IENT INFORMATIO	N		
36. I have been informed of the treatment plan and associated fees. I agree to be responsible for all charges for dental services and materials not paid by my dental benefit plan, unless prohibited by law, or the treating dentist or dental practice has a contractual agreement with my plan prohibiting all or a portion of such charges. To the extent permitted by law, I consent to your use and disclosure of my protected health					38. Place of Treatment 39. Number of Enclosures (00 to 99) Radiograph(s) Oral Image(s) Model(s)				
					Provider's Office Hospital ECF Other				
information to carry out payment activ	vities in connection	with this claim.			40. Is Treatment for Orthodontics?		41. Date Appliance Placed	I (MM/DD/CCYY)	
X						s (Complete 41-42)	0 44 Data Driar Diacoment		
Patient/Guardian signature Date					42. Months of Treatment 43. Replacement of Prosthesis? 44. Date Prior Placement (MM/DD/CCYY)				
37. I hereby authorize and direct payment dentist or dental entity.	t of the dental benefits	s otherwise payable to m	e, directly to the be	low named	45. Treatment Resulting from	res (Complete 44			
context of context of may.					Occupational illness/injury Auto accident Other accident				
X Subscriber signature Date					46. Date of Accident (MM/DD/CCYY) 47. Auto Accident State				
BILLING DENTIST OR DENTAL ENTITY (Leave blank if dentist or dental entity is not submitting					TREATING DENTIST AND TREATMENT LOCATION INFORMATION				
claim on behalf of the patient or insur					53. I hereby certify that the procedure visits) or have been completed.			at require multiple	
48. Name, Address, City, State, Zip C	ode				visits) of have been completed.				
					x				
					Signed (Treating Dentist) Date				
					54. NPI				
					56. Address, City, State, Zip Code	56A. P Specia	rovider Ilty Code		
49. NPI 50). License Number	51. SSN	or TIN						
52. Phone	I	52A. Additional			57. Phone	58, Ad	ditional		
Number () –		52A. Additional Provider ID			Number () -	Pro	ovider ID		

© 2006 American Dental Association J400 (Same as ADA Dental Claim Form – J401, J402, J403, J404)



American Dental Association www.ada.org

Comprehensive completion instructions for the ADA Dental Claim Form are found in Section 4 of the ADA Publication titled *CDT-2007/2008*. Five relevant extracts from that section follow:

GENERAL INSTRUCTIONS

- A. The form is designed so that the name and address (Item 3) of the third-party payer receiving the claim (insurance company/dental benefit plan) is visible in a standard #10 window envelope. Please fold the form using the 'tick-marks' printed in the margin.
- B. In the upper-right of the form, a blank space is provided for the convenience of the payer or insurance company, to allow the assignment of a claim or control number.
- C. All Items in the form must be completed unless it is noted on the form or in the following instructions that completion is not required.
- D. When a name and address field is required, the full name of an individual or a full business name, address and zip code must be entered.
- E. All dates must include the four-digit year.
- F. If the number of procedures reported exceeds the number of lines available on one claim form, the remaining procedures must be listed on a separate, fully completed claim form.

COORDINATION OF BENEFITS (COB)

When a claim is being submitted to the secondary payer, complete the form in its entirety and attach the primary payer's Explanation of Benefits (EOB) showing the amount paid by the primary payer. You may indicate the amount the primary carrier paid in the "Remarks" field (Item # 35).

NATIONAL PROVIDER IDENTIFIER (NPI)

49 and 54 <u>NPI (National Provider Indentifier</u>): This is an identifier assigned by the Federal government to all providers considered to be HIPAA covered entities. Dentists who are not covered entities may elect to obtain an NPI at their discretion, or may be enumerated if required by a participating provider agreement with a third-party payer or applicable state law/regulation. An NPI is unique to an individual dentist (<u>Type 1 NPI</u>) or dental entity (<u>Type 2 NPI</u>), and has no intrinsic meaning. Additional information on NPI and enumeration can be obtained from the ADA's Internet Web Site: **www.ada.org/goto/npi**

ADDITIONAL PROVIDER IDENTIFIER

52A and 58 <u>Additional Provider ID</u>: This is an identifier assigned to the billing dentist or dental entity other than a Social Security Number (SSN) or Tax Identification Number (TIN). It is not the provider's NPI. The additional identifier is sometimes referred to as a Legacy Identifier (LID). LIDs may not be unique as they are assigned by different entities (e.g., third-party payer; Federal government). Some Legacy IDs have an intrinsic meaning.

PROVIDER SPECIALTY CODES

56A <u>Provider Specialty Code</u>: Enter the code that indicates the type of dental professional who delivered the treatment. Available codes describing treating dentists are listed below. The general code listed as 'Dentist' may be used instead of any other dental practitioner code.

Category / Description Code	Code	
Dentist A dentist is a person qualified by a doctorate in dental surgery (D.D.S) or dental medicine (D.M.D.) licensed by the state to practice dentistry, and practicing within the scope of that license.	122300000X	
General Practice	1223G0001X	
Dental Specialty (see following list)	Various	
Dental Public Health	1223D0001X	
Endodontics	1223E0200X	
Orthodontics	1223X0400X	
Pediatric Dentistry	1223P0221X	
Periodontics	1223P0300X	
Prosthodontics	1223P0700X	
Oral & Maxillofacial Pathology	1223P0106X	
Oral & Maxillofacial Radiology	1223D0008X	
Oral & Maxillofacial Surgery	1223S0112X	

Dental provider taxonomy codes listed above are a subset of the full code set that is posted at: www.wpc-edi.com/codes/taxonomy

Should there be any updates to ADA Dental Claim Form completion instructions, the updates will be posted on the ADA's web site at: www.ada.org/goto/dentalcode **Caution:** Any person who, knowingly and with intent to defraud any insurance company or other person: (1) files an application for insurance or statement of claim containing any materially false information; or (2) conceals for the purpose of misleading, information concerning any material fact thereto, commits a fraudulent insurance act.

IMPORTANT CLAIM NOTICE

Alaska Residents: A person who knowingly and with intent to injure, defraud or deceive an insurance company or files a claim containing false, incomplete or misleading information may be prosecuted under state law.

Arizona Residents: For your protection, Arizona law requires the following statement to appear on/with this form. Any person who knowingly presents a false or fraudulent claim for payment of loss is subject to criminal and civil penalties.

The authorization shall remain in effect for the term of your coverage. You or your designated representative is entitled to receive a copy of this claim form.

California Residents: For your protection, California law requires the following to appear on/with this form. Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Colorado Residents: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

District of Columbia Residents: WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

Florida Residents: Any person who knowingly and with intent to injure, defraud or deceive any insurer files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

Kentucky Residents: Any person who knowingly and with intent to defraud any insurance company or other person files a statement of claim containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Maryland Residents: Any person who, knowingly and with intent to defraud any insurance company or other person: (1) files an application for insurance or statement of claim containing any materially false information; or (2) conceals for the purpose of misleading, information concerning any fact material thereto, may be committing a fraudulent insurance act.

Minnesota Residents: A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

New Jersey Residents: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.

New York Residents: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed \$5000 and the stated value of the claim for each such violation.

Oregon Residents: Any person who knowingly and with intent to defraud any insurance company or other person: (1) files an application for insurance or statement of claim containing any materially false information; or, (2) conceals for the purpose of misleading, information concerning any material fact, may have committed a fraudulent insurance act.

Pennsylvania Residents: Any person who, knowingly and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Tennessee Residents: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

Texas Residents: Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Virginia Residents: Any person who, with the intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement may have violated state law.

CIGNA Dental refers to CIGNA Dental Health, Inc., and its operating subsidiaries as well as its affiliated companies Connecticut General Life Insurance Company and CIGNA HealthCare of Connecticut, Inc. 590154b Rev. 6-07